

## INDIVIDUAL INVESTOR

### Subscription Application

(Corporate, Trust and Institutional Investors please complete the Corporate, Trust and Institutional Investors Subscription Application Form which is available from [www.dominion-funds.com](http://www.dominion-funds.com)).

The Investor Shares in the Sub-Fund should be registered in the following name(s):

#### 1. Investor Details

All fields marked with an \* are mandatory.

Individual Investor 1:

Title:*	<input type="text"/>	First Name:*	<input type="text"/>
Family Name or Surname:*	<input type="text"/>		
Legal Name:*	<input type="text"/>		
Any Former Names:*	<input type="text"/>		
Any Other Names Used:*	<input type="text"/>		
Date of Birth:*	<input type="text"/> <small>d</small> <input type="text"/> <small>d</small> <input type="text"/> <small>m</small> <input type="text"/> <small>m</small> <input type="text"/> <small>y</small> <input type="text"/> <small>y</small> <input type="text"/> <small>y</small> <input type="text"/> <small>y</small>	Country of Birth:*	<input type="text"/>
Nationality:*	<input type="text"/>		
Other Nationality (if applicable):*	<input type="text"/>		
Principal Address:*	<input type="text"/>		
	<input type="text"/>	City:	<input type="text"/>
Postcode:*	<input type="text"/>	Country:	<input type="text"/>
Telephone:	<input type="text"/>		
Email:*	<input type="text"/> @ <input type="text"/>		

Occupation/Position Held:*	<input type="text"/>
Current Employers Name:	<input type="text"/>

Brief description of your Source of Wealth:\*

<input type="text"/>
<input type="text"/>
<input type="text"/>

For all investors we are required to obtain specific details of the activities which have generated their total net worth.

Brief description of your Source of Funds:\*

<input type="text"/>
<input type="text"/>
<input type="text"/>

We are also required to obtain specific details of the activities which have generated the funds for this investment.

Individual Investor 2:

Title:*	<input type="text"/>	First Name:*	<input type="text"/>
Family Name or Surname:*	<input type="text"/>		
Legal Name:*	<input type="text"/>		
Any Former Names:*	<input type="text"/>		
Any Other Names Used:*	<input type="text"/>		
Date of Birth:*	<input type="text"/>	<input type="text"/>	Country of Birth:*
	<small>d d</small>	<small>m m</small>	<small>y y y y</small>
Nationality:*	<input type="text"/>		
Other Nationality (if applicable):*	<input type="text"/>		
Principal Address:*	<input type="text"/>		
	<input type="text"/>	City:	<input type="text"/>
Postcode:*	<input type="text"/>	Country:	<input type="text"/>
Telephone:*	<input type="text"/>		
Email:*	<input type="text"/> @ <input type="text"/>		

For all investors we are required to obtain specific details of the activities which have generated their total net worth.

Brief description of your Source of Funds:\*

<input type="text"/>
<input type="text"/>

We are also required to obtain specific details of the activities which have generated the funds for this investment.

Occupation/position held:*	<input type="text"/>
Current employers name:	<input type="text"/>
Brief description of your Source of Wealth:*	<input type="text"/>
	<input type="text"/>
	<input type="text"/>

Address for correspondence if different from above:

Principal Address:	<input type="text"/>
	<input type="text"/> City: <input type="text"/>
Postcode:	<input type="text"/> Country: <input type="text"/>
Telephone:	<input type="text"/>
Email:	<input type="text"/> @ <input type="text"/>

Send all correspondence: By Post: ☐ By Email: ☐

#### Evidence of Identity:

Investors must provide certified copies of passport(s) (if more than one passport is held we must be provided with certified copies of every passport), ID card(s) or drivers licence(s) and a utility bill or a bank/credit card statement less than 3 months old which shows the investor's principal address quoted in section 1. All copies should be legally certified by an authority empowered to certify documents.

Anti-Money Laundering: Please note that additional information and further evidence of identification may be requested prior to accepting any subscription. Please also note that your funds may not be invested until all identity verification has been completed.

## 2. Amount of Investment per Sub-Fund\*

Dominion Global Trends – Luxury Consumer Fund (a “Sub-Fund”)

Share Class (e.g. R Share Class)	Currency	Cash Amount*	Number of Shares Required* (R Share Classes only)
	USD		
	EUR		
	GBP		

Dominion Global Trends – Managed Fund (a “Sub-Fund”)

Share Class (e.g. R Share Class)	Currency	Cash Amount*	Number of Shares Required* (R Share Classes only)
	USD		
	EUR		
	GBP		

Dominion Global Trends – Ecommerce Fund (a “Sub-Fund”)

Share Class (e.g. B Share Class)	Currency	Cash Amount*	Number of Shares Required* (I Share Classes only)
	USD		N/A
	EUR		N/A
	GBP		

\*Please complete either Cash Amount to be invested or Number of Shares Required.

Subject to the minimum investment per Class in accordance with the relevant Sub-Fund’s most recent Offering Supplement. Share amounts are only permissible for subscription in the I and R Share Classes. Payment can only be completed by Telegraphic Transfer or BACS (GBP only).

### Payment by Bank Transfer

Please specify below the account to which payments should be transferred on redemption.

Bank:	<input type="text"/>
Address:	<input type="text"/>
Branch Code:	<input type="text"/>
Account Name:	<input type="text"/>
Account Number:	<input type="text"/>
BIC/SWIFT Code:	<input type="text"/>
IBAN Number:	<input type="text"/>
Intermediary Bank:	
Name and Branch:	<input type="text"/>
Intermediary Bank:	
BIC/SWIFT Code:	<input type="text"/>

### 3. Declarations\*

#### Data Protection Disclosure:

Personal information contained in this Subscription Application Form will be handled by the Administrator (acting as Data Processor on behalf of the Sub-Fund) in accordance with the Maltese Data Protection legislation. I/We hereby consent to the processing of my/our information and the disclosure of my/our information to any relevant Maltese or overseas government agency, the Investment Manager and where necessary or in the Sub-Fund's legitimate interest to any company in the Administrator's and/or Investment Manager's group of companies or agents of the Administrator and/or Investment Manager including companies situated in countries which may not have the same data protection law as Malta. I/ We also acknowledge that such data may be transported via an open network that is accessible to the public (Internet). The data may also be transmitted across borders without any controls and can occur even when both the sender and the recipient are in the same jurisdiction.

I/We hereby apply to invest the amount subscribed above in Investor Shares in the Fund subject to the terms of the Dominion Global Trends SICAV p.l.c. Prospectus (the "Prospectus") and the relevant Sub-Fund's Offering Supplement. I/We acknowledge that the Company reserves the right to reject an application in whole or in part. I/We further declare that having read and understood the Prospectus and the relevant Sub-Funds Offering Supplement I/We accept the risk associated with an investment in the relevant Sub-Fund, have understood the charges applicable to an investment in the relevant Sub-Fund and declare that I/we have taken appropriate professional financial advice and or legal advice. I/We hereby confirm that I am/we are not a politically exposed person/s, immediate family member/s or person/s known to be close associates of such person/s.

#### For DC Share Class investments only:

I/We hereby declare that I/we understand that in choosing to invest in Investor Shares of the DC Share Class that I/we will not incur any initial charge on such investment and I/we agree and specifically authorise the Sub-Fund to transfer Shares to the Investment Manager from my/our holding on a quarterly basis for the first five years of such investment in order to meet the deferred charge as described in the relevant Sub-Fund's Offering Supplement.

#### 4. Individual Self Certification

Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with HMRC. If you have any questions about your tax residency, please contact your tax advisor.

If you have any questions about how to complete this form, please contact your tax advisor.

Should any information provided change in the future, please ensure you advise us of the changes promptly.

##### Part I – Individual Identification

If your entity or branch has multiple countries of tax residency, please tick this box and complete one self-certification form for each country.

a) Title:

b) Family Name or Surname:

c) First or Given Name:

d) Middle Names(s):

e) Permanent residence address (do not use a P.O. box or an 'in care of' address):  
  
 City:   
Postcode:\*  Country:

f) Place and Country of Birth:

g) Date of Birth:

##### Part II – Tax Residency

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number.

Country/Countries of Tax Residency	Tax Reference Number*
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

\*If you are a UK, Jersey, Guernsey, Isle of Man or Gibraltar resident then please supply your National Insurance or Social Security Number as appropriate, in addition to your Tax Reference Number.

If you are not resident in any country for tax purposes, please tick this box.: ☐

##### Part III – Declaration and Signature

I declare that the information provided on this form is to the best of my knowledge and belief, accurate and complete.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

Print name:  Signature:  Date:

Print name:  Signature:  Date:

Signing Instructions: Any to sign: ☐ All to sign: ☐

## 5. To be completed by the Financial Adviser\*

Adviser Name:	<input type="text"/>
Company Name:	<input type="text"/>
Address & Postcode:	<input type="text"/>
Telephone:	<input type="text"/>
Email:	<input type="text"/> @ <input type="text"/>
Financial Adviser Code:	<input type="text"/> Application Code: <input type="text"/>

## 6. Declaration by Introducer/Financial Adviser\*

I/We confirm to the Administrator that:

1. I/We have personally obtained evidence of identity of the customer/s appearing on this application and have satisfactorily verified such evidence;
2. I/We have identified the source of wealth/funds in respect of this application;
3. I/We have taken any other action as required by and in accordance with the applicable Prevention of Money Laundering and Funding of Terrorism Regulations and Rules to which I am/we are subject;
4. I/We maintain at our offices, the necessary identification and verification records and other relevant documentation to ensure a proper audit trail in relation to 1, 2 and 3 above;
5. Any of the records required above are available if required by the Administrator;
6. Should there be any relevant or material changes to the above records, I/we will immediately inform the Administrator of the said change; and
7. That I/we will inform the Administrator if it comes to my/our knowledge that any declaration made by me/us in the application is untrue.

Signature of Introducer/Financial adviser: \_\_\_\_\_ Date: \_\_\_\_\_

The Sub-Funds do not intend to operate equalisation arrangements. However, to compensate for this, the Sub-Funds are required to make some adjustments to the calculations of reportable income per share for UK tax purposes. Although adjustments for equalisation will be made to these calculations, there should be no noticeable impact for investors.

It is also proposed that each Share Class shall be accumulating (and hence not distributing) and therefore for the purposes of Regulation 92C, it is likely that the relevant Share Class will only have one computation period, which will be 12 months long.

The state of the origin of the Fund is Malta. This document may only be distributed in or from Switzerland to qualified investors within the meaning of Art. 10 Para. 3, 3bis and 3ter CISA. The Representative in Switzerland is Waystone Fund SA, Av Villamont 17, 1005 Lausanne, Switzerland, whilst the Paying Agent is Banque Cantonale Vaudoise, Place St François, CH-1003 Lausanne. In respect of the units distributed in or from Switzerland, the place of performance and jurisdiction is at the registered office of the Swiss representative. The basic documents of the Fund as well as the annual and, if applicable, semi-annual report may be obtained free of charge at the registered office of the Swiss Representative.